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## A study on modeling nitrogen dioxide concentrations using land-use regression and conventionally used exposure assessment methods

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### Abstract

The land-use regression (LUR) approach to estimate the levels of ambient air pollutants is becoming popular due to its high validity in predicting small-area variations. However, only a few studies have been conducted in Asian countries, and much less research has been conducted on comparing the performances and applied estimates of different exposure assessments including LUR. The main objectives of the current study were to conduct nitrogen dioxide (NO<sub>2</sub>) exposure assessment with four methods including LUR in the Republic of Korea, to compare the model performances, and to estimate the empirical NO<sub>2</sub> exposures of a cohort.

The study population was defined as the year 2010 participants of a government-supported cohort established for bio-monitoring in Ulsan, Republic of Korea. The annual ambient NO<sub>2</sub> exposures of the 969 study participants were estimated with LUR, nearest station, inverse distance weighting, and ordinary kriging. Modeling was based on the annual NO<sub>2</sub> average, traffic-related data, land-use data, and altitude of the 13 regularly monitored stations.

The final LUR model indicated that area of transportation, distance to residential area, and area of wetland were important predictors of NO<sub>2</sub>. The LUR model explained 85.8% of the variation observed in the 13 monitoring stations of the year 2009. The LUR model outperformed the others based on leave-one out cross-validation comparing the correlations and root-mean square error. All NO<sub>2</sub> estimates ranged from 11.3–18.0 ppb, with that of LUR having the widest range. The NO<sub>2</sub> exposure levels of the residents differed by demographics. However, the average was below the national annual guidelines of the Republic of Korea (30 ppb).

The LUR models showed high performances in an industrial city in the Republic of Korea, despite the small sample size and limited data. Our findings suggest that the LUR method may be useful in similar settings in Asian countries where the target region is small and availability of data is low.

### 1. Introduction

A valid exposure assessment is important in epidemiological studies to analyze the effects of environmental exposure on adverse health outcomes (Rothman *et al* 2008). Invalid exposure assessment could lead to

biased estimates. The most accurate measures can be achieved by directly performing personal monitoring or methods such as biomarkers. However, measuring personal exposure or biomarkers is sometimes infeasible due to the substantial time, physical, and financial efforts needed for such measurement. As a

consequence, the spatiotemporal characteristics of exposure and health data do not align in many epidemiological studies, which introduce the potential for measurement errors (Gryparis *et al* 2009).

Regarding the difficulties in implementing individual monitoring, alternative methods of exposure estimation with surrogate measures and spatial modeling are becoming more popular in examining the relationship between air pollution and adverse health outcomes (Son *et al* 2010). Common methods for estimating the air pollution exposure levels of an individual are the use of: the concentration of a monitoring station closest to the residential address of an individual; the averaged concentration of monitoring stations within a geographic boundary; surrogate measures of air pollution such as distance to nearest roads or length of roads within a specified boundary; and estimates produced by models such as kriging or inverse distance weighting (IDW).

Among these methods, exposure assessments on a spatially aggregated level fail to take spatial heterogeneity into account (Son *et al* 2010). To overcome such challenges, methods of spatial interpolation, dispersion models, integrated meteorological-emission models, and land-use regression (LUR) have been introduced. Spatial interpolation methods (e.g. kriging and IDW) take into account spatial heterogeneity by assuming that the concentration level of an unknown spot is similar to the concentrations of nearby known values. However, such approaches do not consider that air pollution concentrations are highly dependent on stationary and mobile sources, which may act as a source of measurement error. Both dispersion and integrated meteorological-emission models are generally considered to be more reliable and transferable, but are costly and difficult to implement due to the vast amount of input data and complicated procedures (Jerrett *et al* 2005, Peng and Bell 2010).

In line with such issues, LUR has been suggested as an alternative methodology to enhance exposure assessment in terms of spatiotemporal heterogeneity. This method estimates pollution concentration at a given location by generating a regression model utilizing data of surrounding land use, traffic characteristics, and meteorology (Briggs *et al* 1997). The LUR method is known to have high validity, useful in detecting small-area variations, and is comparatively easy to implement relative to some other approaches (Ryan and LeMasters 2007). The annual concentrations of ambient air nitrogen dioxide (NO<sub>2</sub>) and nitrogen oxides (NO<sub>x</sub>) are frequently the subject of prediction with LUR (Beelen *et al* 2013), because they originate from transportation. Transportation is a known risk factor for many adverse health outcomes (e.g. respiratory symptoms/diseases, otitis media, hospital admissions, and mortality) (D'Amato, 2002, Latza *et al* 2009, Lee *et al* 2013, Nitschke 1999). Improved exposure assessment of NO<sub>2</sub> may enable us to generate more valid risk estimates,

and therefore improve understanding of the health effects of NO<sub>2</sub>.

Despite the benefits of LUR modeling in NO<sub>2</sub> estimation, most study areas of previous literature are limited to western countries (Beelen *et al* 2013, Hoek *et al* 2008), with limited study in Asian countries. Most of the previous studies in Asia had been conducted in China (Chen *et al* 2010, Chen *et al* 2012, Li *et al* 2015, Liu *et al* 2015), with a few in Japan (Kashima *et al* 2009), the Republic of Korea (Lee *et al* 2012, Kim and Guldmann 2015), and Taiwan (Lee *et al* 2014). Also, only a few studies have been conducted on comparing the exposure estimates of LUR modeling with that of the conventionally used exposure assessment methods.

In the current study, our major aims were to compare multiple exposure assessment methods that are widely in use and to apply the best performing model to estimate the annual NO<sub>2</sub> exposure levels of a cohort in an industrial city in the Republic of Korea. For this purpose, three conventionally used exposure assessment methods (i.e. IDW, kriging, and nearest monitoring station) and one advancing exposure assessment method (i.e. LUR) were used to build NO<sub>2</sub> prediction models in Ulsan, an industrial city in the Republic of Korea. The validity of each model was compared, and the best performing model was used to empirically estimate the NO<sub>2</sub> exposure of the subjects in a cohort in Ulsan.

## 2. Materials and methods

### 2.1. Study population and air pollution data

The study participants were restricted to the participants of the Ulsan cohort in the year 2010, whose exact residential address was known. Ulsan is a highly industrialized city located in the southeastern part of the Korean Peninsula. Ulsan is considered a symbol of economic development in the Republic of Korea, with two large industrial complexes (the Ulsan petro-chemical complex and the Ulsan Mipo industrial complex) within the borders of the city. The Ulsan cohort is a government-supported study established in 2003 to monitor exposure levels and biomarkers of environmental pollutants in the residents of the highly industrialized city, Ulsan (Lee *et al* 2008). The study population for the current analyses included the participants of the year 2010, whose street-level addresses were known, resulting in 969 of the 1021 participants.

Hourly concentrations of ambient NO<sub>2</sub> and the corresponding address of the 13 monitoring stations were obtained from the National Institute of Environmental Research (2009.01.01–2010.12.31) and the Annual Report of Air Quality in Korea 2009, respectively. For the development of the LUR model, the land-use data of 2007 was obtained from Ministry of Environment, road data of 2009 was obtained from Statistics Korea, and altitude was

obtained from Google Earth. As the major source of NO<sub>2</sub> is traffic, we acquired two types of traffic data from different sources: road and transportation. Road data included information about major and small roads, while transportation data included information about all means of transportation (e.g. roads, railroads, harbor, etc).

## 2.2. GIS predictors for LUR models

The Transverse Mercator central coordinates of each monitoring station were combined with the obtained data on land-use characteristics to create 170 variables widely in use and currently available (table S1 available at [stacks.iop.org/ERL/12/044003/mmedia](https://stacks.iop.org/ERL/12/044003/mmedia)). The type of input variable was defined as ‘nearest distance to’ if the variable was calculated by estimating the distance to the nearest land-use characteristics. If the variable was calculated by estimating the area of the land-use characteristics within a certain buffer, the variable type was defined as ‘area within’. The buffers for ‘area within’ variables were chosen after reviewing previous literature (Beelen *et al* 2013, Henderson *et al* 2007, Lee *et al* 2014, Sahsuvaroglu *et al* 2006). All variables were generated with ArcGIS (ESRI 2011) and Python 2.6.5.

## 2.3. LUR model development

The LUR model was built with 170 variables (table S1). The date of the acquired data (2007–2009) and the date for exposure assessment (2010) did not perfectly align due to data availability issues. As the major source of NO<sub>2</sub> is traffic, the LUR model was first developed with the annual NO<sub>2</sub> concentrations of 2009, which aligns with the year of road data, and calibrated to estimate the annual NO<sub>2</sub> concentrations of 2010.

The model development process was defined after reviewing previous literature (Beelen *et al* 2013, Briggs *et al* 1997, Sahsuvaroglu *et al* 2006). Simple linear regression was conducted to examine the relationship between each land-use variable and the annual ambient concentrations of NO<sub>2</sub> in 2009. The land-use variable showing the highest adjusted  $R^2$  was selected as the base model. To this base model, all remaining variables were added consecutively and the adjusted  $R^2$  values were recorded. The predictor variable with the highest additional increase in adjusted  $R^2$  was maintained, if the  $p$ -value of the predictor variable did not exceed 0.05 and the variance inflation factor of the variables did not exceed 3 (Beelen *et al* 2013). If the type of the added predictor variable was ‘area within’, an additional step was employed. If the definition of the added ‘area within’ predictor variable overlapped with the variables already in the model, doughnut-shaped ring-buffers were created. The doughnut-shaped ring-buffers were maintained if the adjusted  $R^2$  of the model including the ring-buffers was higher than that of the previous model. If the predictor variable with the highest additional increase in adjusted  $R^2$  did not satisfy the previously described conditions, the

predictor variable with the next highest additional increase in adjusted  $R^2$  was considered. The previously described procedure of adding a predictor variable was repeated until the adjusted  $R^2$  did not show any increase. The last model was selected as the LUR model for predicting the annual ambient concentrations of NO<sub>2</sub> in 2009.

Temporal adjustment of the LUR model is possible with several methods. In the current study, the best method currently known (Möller *et al* 2010, Wang *et al* 2013) was applied. Calibration of the LUR model coefficients was conducted by substituting the NO<sub>2</sub> concentration of 2009 to that of 2010 and attaining the generated parameter estimates.

## 2.4. Other exposure assessment methods

Three widely used conventional exposure assessment methods (i.e. nearest station, IDW, and ordinary kriging) were also applied to estimate NO<sub>2</sub>. All three methods are weighted average methods employing the same basic mathematical formulation equation (1). The difference between the three methods is the choice of weights. In the nearest station method, a weight of 1 is assigned to a single sample point, which is the sample point closest to the point of estimation. In IDW, larger weights are assigned to sample points (i.e. monitoring locations) that are geographically closer to the point of estimation. A similar concept is applied in ordinary kriging. However, the weights additionally consider spatial autocorrelation statistics (variogram) of the sampled points (Wong *et al* 2004).

$$z(x_0) = \sum_{i=1}^n \lambda_i \times z(x_i) \text{ and } \sum_{i=1}^n \lambda_i = 1 \quad (1)$$

$z(x_0)$  = air pollution concentration at an unsampled point

$z(x_i)$  = air pollution concentration at neighboring sampled location  $i$

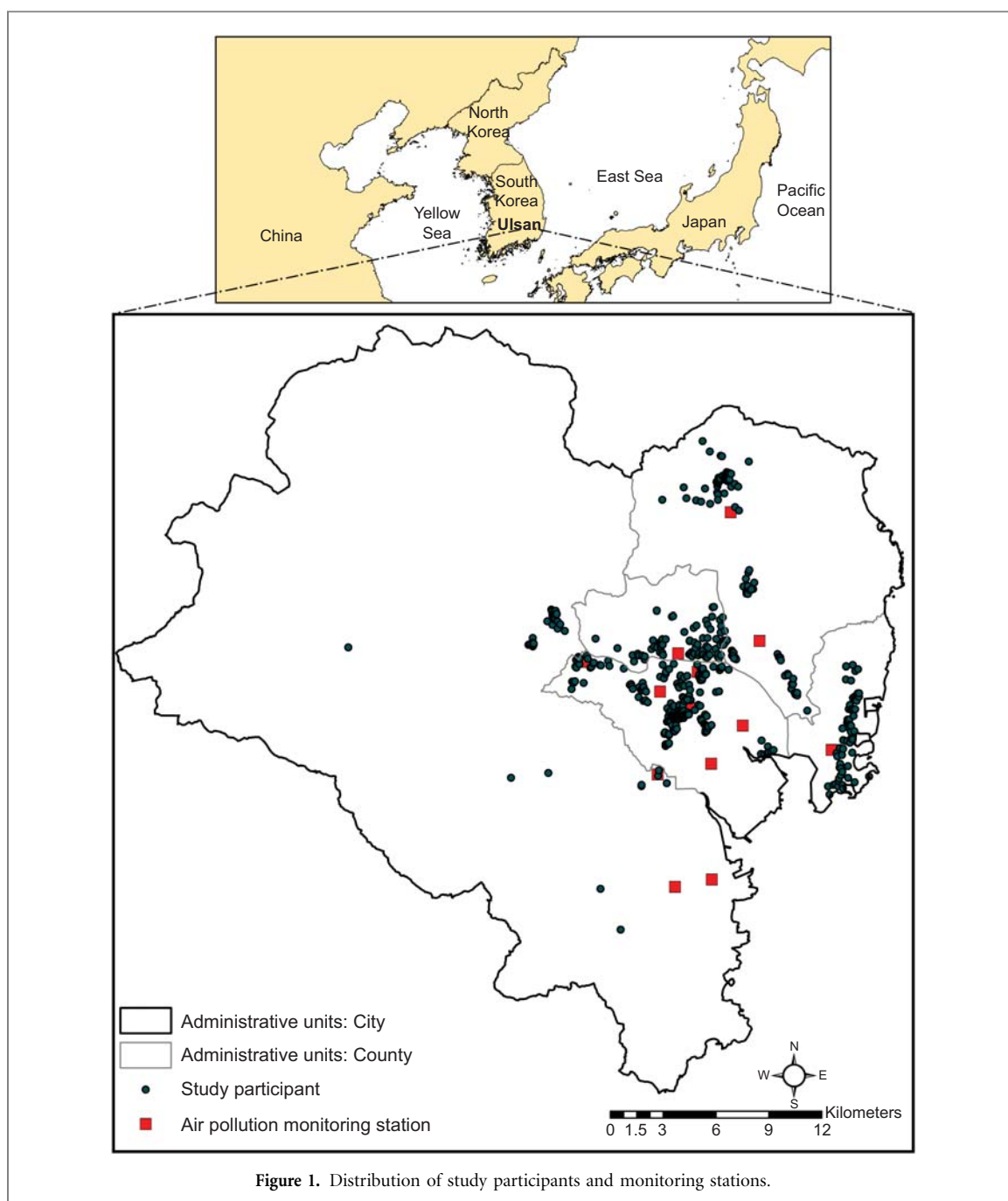
$\lambda_i$  = weight of sampled location  $i$

$n$  = total number of sampled locations

Ordinary kriging and IDW models were created using Geostatistical wizard in ArcGIS. For ordinary kriging, the best performing model was selected from three interpolation methods (spherical, exponential, and Gaussian) using original NO<sub>2</sub> concentrations and log-transformed NO<sub>2</sub> concentrations. In IDW modeling, the parameters showing the best performance were selected. For the nearest station method, ambient air NO<sub>2</sub> concentration of the nearest monitoring station was matched to each study participant.

## 2.5. Cross validation

Leave-one-out cross validation was conducted to validate the models developed in the current study. For each monitoring station, a model was parameterized on the remaining 12 monitoring stations and used to predict the NO<sub>2</sub> concentrations of the excluded point. Correlation between the observed and the estimated concentrations was analyzed, and root mean square error was calculated.



### 2.6. Comparison of exposure estimates by assessment methods

The exposure estimates by multiple exposure assessment of each individual cohort participant were compared by examining the descriptive statistics and conducting correlation analysis. Residential addresses were used to classify the participants' district of residence. In addition, the exposure status of the study participants was examined by demographic characteristics. All statistical analysis was conducted with SAS 9.4 (SAS Institute Inc., Cary, North Carolina).

## 3. Results

### 3.1. Summary statistics

A total of 969 Ulsan cohort participants were included in the study. The addresses of the study participants

and monitoring stations were concentrated in the central region of Ulsan (figure 1). The annual average ambient  $\text{NO}_2$  concentration in Ulsan from the 13 stationary monitors was 22.3 ppb with a standard deviation of 3.0 ppb in 2009, and 22.8 ppb with a standard deviation of 2.7 ppb in 2010. The major land uses in Ulsan were green land and agricultural land (table 1).

### 3.2. Comparison of the performances of exposure models

In the simple linear regression analysis between annual concentrations of ambient  $\text{NO}_2$  and land-use variables, statistically significant relationships were observed in variables related to traffic and roads. The land-use variable showing the highest adjusted  $R^2$  was the area of transportation within 750 m. The original

**Table 1.** Descriptive characteristics of land-use characteristics and altitude.

Variable	Mean	Standard deviation
NO <sub>2</sub> concentrations of the stations in 2009 (ppb)	22.3	3.0
NO <sub>2</sub> concentrations of the stations in 2010 (ppb)	22.8	2.7
Altitude of the stations (m)	22.1	13.3
Variable	Total area (km <sup>2</sup> )	
Transportation <sup>a</sup>	65.8	
Major roads <sup>b</sup>	13.5	
Small roads <sup>b</sup>	25.2	
Commercial <sup>b</sup>	10.3	
Total industrial area	47.1	
Major industrial estate	40.3	
Agricultural land	188.1	
Green land	684.2	
Open space	32.8	
Water regime	23.3	
Wetland	5.6	
Housing	41.6	
High-density housing	1.7	
Low-density housing	5.4	

<sup>a</sup> An aggregate measure of transportation, which includes all transportation related characteristics such as roads, airport, harbor, and railway. Derived by combining land use data of 2007 from Ministry of Environment and road data of 2009 from Statistics Korea.

<sup>b</sup> Fine measures of road data of 2009 from Statistics Korea.

model explained 85.8% of the variation in the annual concentrations of ambient NO<sub>2</sub> of year 2009 in Ulsan, and the calibrated model explained 45.0% of the variation in the annual concentrations of ambient NO<sub>2</sub> of year 2010 (table 2). The annual NO<sub>2</sub> concentrations were estimated by performing ordinary kriging (exponential interpolation with 4–12 neighbors for 2009 and 2–7 neighbors for 2010) and IDW (7–12 neighbors) on the original annual NO<sub>2</sub> values in years 2009 and 2010 (figure 2).

The performances of the exposure assessment models were tested with leave-one-out cross validation. The correlation between the observed and predicted values was highest in the LUR models, and negative in the nearest station models. However, the relationship was only statistically significant for the 2009 LUR model (figure 3). The station with the highest concentration in year 2009 did not perform well in all four models.

Among the exposure assessment models, the root mean square error of the LUR models was the lowest, while the nearest station model showed the highest value (table 3). The correlation between the observed and the predicted values was re-examined after excluding the two highest and three lowest stations, but the highest correlation among the four exposure models in each year remained unchanged.

**Table 2.** Summary of the land-use regression model predicting annual concentrations of ambient NO<sub>2</sub> in Ulsan, Republic of Korea.

Model	Variable	$\beta$	<i>p</i> -value	VIF
Original model (2009) <i>R</i> <sup>2</sup> = 0.86	Intercept	16.0	<0.0001	
	Area of transportation within 750 m	0.000023	<0.0001	1.1
	Inverse distance to residential area	−5.0	<0.01	1.1
	Area of wetland within 750 m	−0.000040	0.04	1.0
Calibrated model (2010) <i>R</i> <sup>2</sup> = 0.45	Intercept	19.0	<0.0001	
	Area of transportation within 750 m	0.000014	0.03	1.1
	Inverse distance to residential area	−3.8	0.10	1.1
	Area of wetland within 750 m	−0.000048	0.15	1.0

### 3.3. Estimation and comparison of exposure levels of the subjects in a cohort

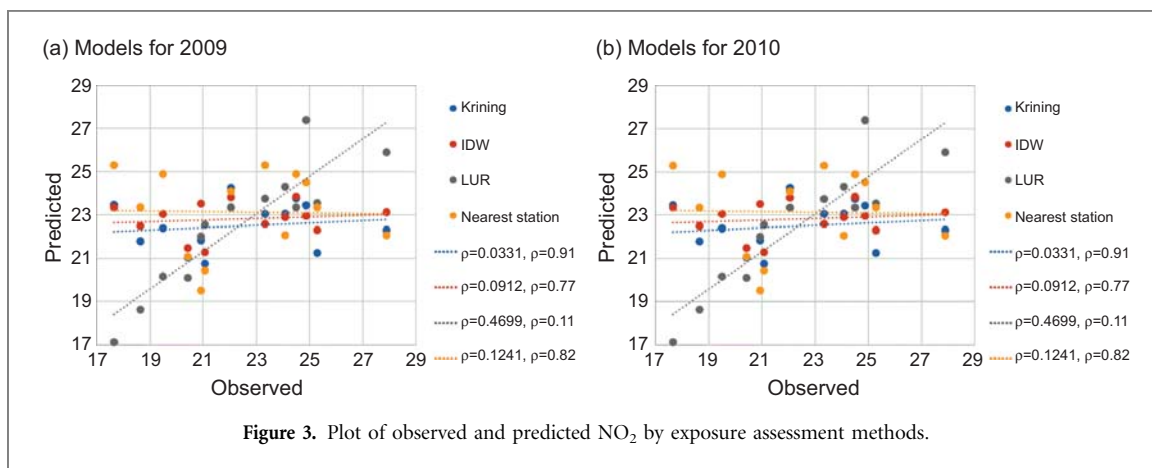
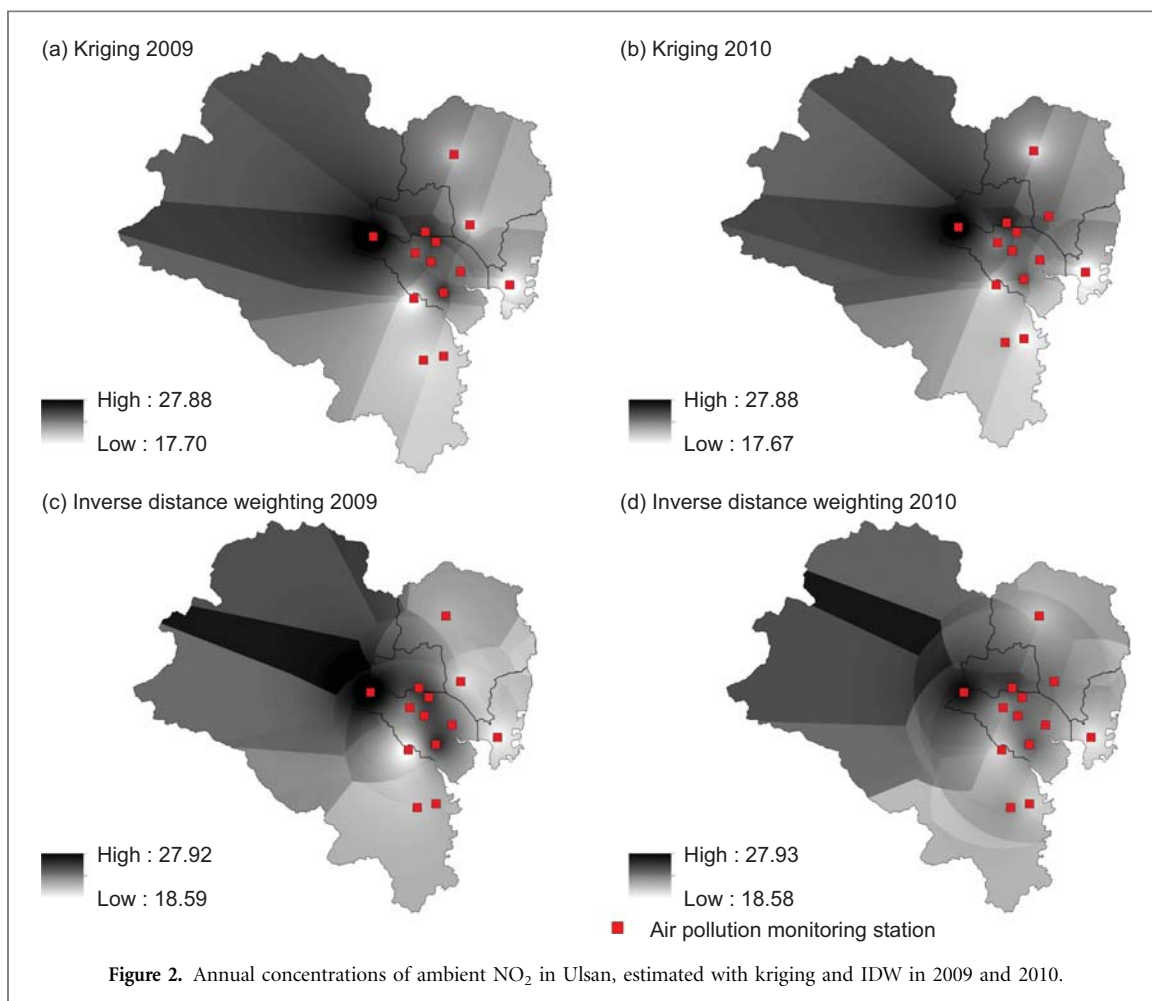
Empirical estimates were derived for the Ulsan cohort in 2009 with the four 2009 exposure models generated in the current study. The exposure levels of NO<sub>2</sub> for the 969 participants estimated with LUR showed the widest range (11.3–28 ppb) compared to other exposure methods, while the estimates by other exposure assessment methods were in the range of 17.7–27.9 ppb. NO<sub>2</sub> estimated with LUR had the smallest mean (17.5 ppb) and highest variance (2.7 ppb). Similar trends were observed in 2010 (table 4).

All exposure estimates of NO<sub>2</sub> were positively correlated across exposure methods. Especially, ordinary kriging IDW, and nearest station produced highly correlated estimates. However, the estimates by LUR were not significantly correlated with the exposure estimated produced with the nearest station method (table 5).

The exposure levels of the study participants by LUR differed by demographic characteristics, especially with regard to age and region of residence (tables 4 and 6). However, the average concentrations of NO<sub>2</sub> were all below the national annual standards of the Republic of Korea, which is 30 ppb.

## 4. Discussion

In this study, LUR models were built to predict the ambient NO<sub>2</sub> concentrations of Ulsan, and the exposure estimates of the Ulsan cohort participants generated by the LUR models were compared to that of other conventionally used exposure assessment methods (i.e. nearest station, IDW, kriging). The LUR model predicting the ambient NO<sub>2</sub> concentrations of Ulsan consisted of the area of transportation within



**Table 3.** Cross-validation results: root mean square error (ppb) by exposure assessment models.

Exposure assessment model	2009	2010
Kriging	2.9	2.8
IDW	2.9	2.7
LUR	1.3	2.6
Nearest station	3.5	3.2

750 m, inverse distance to residential area, and area of wetland within 750 m. The LUR model showed high predictability and validity compared to the other three conventionally used exposure assessment

models. Street-level residential addresses of the study population were used to estimate personal exposure level with the models. The empirical NO<sub>2</sub> estimates of the Ulsan cohort by the LUR model were positively correlated with the estimates by other exposure assessment methods. The exposure levels of individuals differed by demographic characteristics. The average concentrations were below the national annual guideline for NO<sub>2</sub> in the Republic of Korea.

The LUR model developed in the current study showed high predictability. In the LUR model, ambient NO<sub>2</sub> increased as transportation within 750 m increased. Such a relationship is consistent

**Table 4.** Descriptive statistics of personal NO<sub>2</sub> concentrations (ppb) of the Ulsan cohort estimated with four exposure assessment methods.

Statistics	2009				2010			
	LUR	Kriging	IDW	Nearest station	LUR	Kriging	IDW	Nearest station
Mean	17.5	22.7	23.1	22.8	18.9	23.0	23.3	23.0
SD	2.7	1.7	1.1	2.6	2.0	1.6	1.0	2.5
Min	11.3	17.8	18.2	17.7	13.5	18.9	19.5	18.6
Max	28.0	27.5	26.9	27.9	26.3	27.7	27.0	27.9

Variable	Category	N	2009 LUR		2010 LUR	
			Mean	Sd	Mean	Sd
Age	Unknown	3	19.3	1.0	20.1	0.4
	<20 years	480	16.8	2.1	18.5	1.7
	20 to 59	417	18.2	3.1	19.4	2.2
	>60	69	18.1	2.8	19.3	2.0
Gender	Male	350	17.4	2.7	18.9	2.0
	Female	619	17.5	2.7	19.0	2.0
Municipality	Joong-gu	103	17.5	2.2	19.0	1.8
	Nam-gu	85	18.1	2.0	19.6	1.4
	Book-gu	230	17.0	3.2	18.3	2.5
	Dong-gu	57	15.4	3.1	17.2	2.4
	Ulju-goon	103	17.5	2.2	19.0	1.8

**Table 5.** Correlation coefficients ( $p$ -value) between NO<sub>2</sub> estimates in the Ulsan cohort ( $N = 969$ ) by exposure method.

Year	Method	Kriging	IDW	Nearest station
2009	LUR	0.16 (<.0001)	0.14 (<.0001)	0.047 (0.14)
	Kriging		0.95 (<.0001)	0.91 (<.0001)
	IDW			0.88 (<.0001)
2010	LUR	0.13 (<.0001)	0.13 (<.0001)	0.061 (0.057)
	Kriging		0.97 (<.0001)	0.91 (<.0001)
	IDW			0.88 (<.0001)

with previous literature (Beelen *et al* 2013, Hoek *et al* 2008), and could be explained by the fact that traffic is a major source of NO<sub>2</sub>. Ambient NO<sub>2</sub> was negatively associated with inverse distance to residential area. This implies that NO<sub>2</sub> concentration increases the closer the distance is to a residential area. A positive relationship between NO<sub>2</sub> and residential area was observed in previous literature (Beelen *et al* 2013), and could be explained by the air pollution emitted from residential areas. The ambient concentrations of NO<sub>2</sub> decreased as the area of wetland within 750 m increased. An increase in the area of wetland within 750 m may be related to a decrease in pollution sources.

The 2009 LUR model explained 86% of the variation. However, there was a considerable decrease in the adjusted  $R^2$  to 45% in the calibrated 2010 LUR model. The lower predictability of the calibrated model observed may be caused by limitations in the stability of the LUR model developed in 2009, and limitations in the performances of the calibration technique applied in the current study. The stability of the LUR model may be enhanced by increasing the number of monitoring stations (Basagaña *et al* 2012)

and obtaining additional geographical (e.g. traffic density) or temporally varying data (e.g. meteorological factors) (Liu *et al* 2015). The performance of the calibrated model may be hindered by the lack of temporality in LUR models (Johnson *et al* 2013). There have been attempts to account for temporality in LUR models, which include developing a new model with updated land-use variables (Slama *et al* 2007), adjusting the coefficients of the developed LUR model (Möller *et al* 2010, Wang *et al* 2013), or applying a calibration factor of daily (Dons *et al* 2014, Johnson *et al* 2013) or seasonal variation (Slama *et al* 2007). The current study adjusted the coefficients of the developed LUR model, which was reported as the best performing methodology in a previous study (Wang *et al* 2013). Such methodology may have the underlying assumption that the types of land-use characteristics, which are associated with ambient air pollution concentrations of a certain region, are not affected by temporality. Rather, it is assumed that the strength and extent of the association between the land-use characteristics and ambient air pollution concentrations may be altered in time. Complications may arise when the underlying assumptions are violated. Further studies accounting for temporality in LUR models need to be developed. Other means of taking temporality into account are being introduced (Liu *et al* 2015), and warrant further attention.

In comparing the performances of the four exposure models, the LUR model showed the best performance, followed by kriging, IDW, and nearest station. The outperformance of kriging over IDW and nearest station is concordant with some previous studies, where kriging and IDW were compared using simulated data (Zimmerman *et al* 1999) and real data (Iñiguez *et al* 2009, Rivera-González *et al* 2015).



**Table 6.** Comparison of NO<sub>2</sub> (ppb) exposure levels by cohort.

Author (year)	City, Country	Cohort	Population	Average	Min	Max	Exposure assessment
Aguilera <i>et al</i> (2007) <sup>a</sup>	Sabadell, Spain	INMA study	Pregnant women	18.2	9.1	37.3	LUR
Íñiguez <i>et al</i> (2009) <sup>a</sup>	Valencia, Spain	INMA study	Pregnant women	17.7	3.4	28.2	LUR and kriging
Kim <i>et al</i> (2014)	3 cities, Korea	MOCEH	Pregnant women	26.3	13.1	45.1	IDW
Mann <i>et al</i> (2010)	Fresno, USA	FACES	Children	18.6 <sup>d</sup>	4.6	52.4	Central site
Gehring <i>et al</i> (2013) <sup>a,b</sup>	Germany, Sweden, Netherlands, UK	BAMSE, GINIplus, LISAPLUS, MAAS, PIAMA	Children	6.8 10.6 11.5 11.2 11.3	2.9 5.6 9.6 7.8 4.6	16.1 29.8 30.6 14.8 29.0	LUR
Jerrett <i>et al</i> (2008) <sup>a</sup>	California, USA	CHS	Children	14.6			Direct measurement
Lenters <i>et al</i> (2010) <sup>a</sup>	Utrecht, Netherlands	Atherosclerosis Risk in Young Adults study	Young adults	18.1 <sup>d</sup>	9.6 <sup>e</sup>	21.9 <sup>b</sup>	LUR
Young <i>et al</i> (2014)	USA	Sister study	Women	4.5 <sup>d</sup>	2.8 <sup>f</sup>		Universal kriging
Jerret <i>et al</i> (2013)	California, USA	ACS CPS-II	General	6.0	1.5	10.7	LUR
Topp <i>et al</i> (2004) <sup>a</sup>	Germany	INGA	General	12.0 <sup>d</sup>		62.1	
Heinrich (2012) <sup>a</sup>	North Rhine-Westphalia, Germany	Combined cohort	Women in mid-50s	19.0	9.7	29.2	Nearest
Foraster <i>et al</i> (2014) <sup>a</sup>	Girona, Spain	REGICOR	35–83	13.0 <sup>d</sup>	5.4 <sup>f</sup>		LUR
Beelen <i>et al</i> (2008) <sup>a</sup>	Netherlands	NLCS	55–69 at enrolment	18.0	7.1	32.5	Regression model considering regional, urban, and local component
Dietrich <i>et al</i> (2008) <sup>a</sup>	Swiss	SAPALDIA study	Adults	11.2	3.4	24.3	Dispersion modelling
Sørensen <i>et al</i> (2014) <sup>a</sup>	Denmark	DCH study	50–64 at enrolment	8.1 <sup>d</sup>	5.8 <sup>e</sup>	16.1 <sup>b</sup>	Dispersion modelling
Vossoughi <i>et al</i> (2014) <sup>a,c</sup>	Ruhr, Germany	SALIA	Elderly women	15.0 12.7	6.4 <sup>g</sup> 4.6 <sup>g</sup>		Nearest station, LUR
Current study	Ulsan, Korea	Ulsan cohort	General	18.9	13.5	26.3	LUR

<sup>a</sup> Originally expressed in  $\mu\text{g m}^{-3}$ ;

<sup>b</sup> Order in BAMSE, GINI South, GINI/LISA, MAAS, PIAMA;

<sup>c</sup> Order in nearest and LUR;

<sup>d</sup> Median;

<sup>e</sup> 5%;

<sup>f</sup> IQR;

<sup>g</sup> Standard deviation;

<sup>h</sup> 95%.

However, the debate is ongoing about which interpolation method performs better between kriging and IDW (Cressie 1993, Hannam *et al* 2013). In general, kriging is considered to have better predictability compared to IDW as the sampling density increases (Wu *et al* 2006). The outperformance of the LUR model over other methods observed in the current study is in concordance with a previous study (Meng *et al* 2015). In the current study, the performance of the LUR model was comparatively high, while the performance of other methods tended to be lower. Previous literature showed that the LUR model explained 50%–90% of the variation in concentrations at sampling sites (Hoek *et al* 2008), while IDW and kriging explained up to 67% (Hart *et al*

2009) and 64% (Beelen *et al* 2009), respectively. A possible explanation is that the characteristics of the sampling sites in the current study may not fully represent the study area. Only 13 sampling sites were used in the current study. The 13 sites are not evenly distributed and are concentrated in the central regions of Ulsan. The distribution and number of sampling sites may be a substantial limiting factor for nearest station, IDW, and kriging methods, especially because these exposure modeling methods estimate exposure of a site based on the values nearby. The approach used to compare the predictability of four exposure assessment methods in the current study is rather simplified in a practical sense. Applying simplified measures made possible the comparison

using readily-usable government-collected stationary data. However, further studies applying simulations are needed to confirm the findings.

The cross validation results of each exposure model showed that LUR models had the highest validity. In previous studies on the development of LUR models, most of the studies concentrated on building an LUR model with high internal validity and only a few studies compared the validity of the developed LUR model with other exposure models. In previous studies examining the difference in effect estimates by multiple exposure assessment methods, similar results have been observed (Hannam *et al* 2013, Montagne *et al* 2013, Meng *et al* 2015). However, a previous study in Canada reported that indoor and outdoor NO<sub>2</sub> showed high correlation with personal exposure, while LUR-modeled NO<sub>2</sub> did not, despite its high correlation with outdoor traffic-related exposure (Sahsuvaroglu *et al* 2009). Therefore, further studies comparing the validity and exposure estimates by multiple exposure assessment methods need to be conducted as no consensus on the comparability of exposure assessment methods exists.

The LUR modeling holds several limitations in addition to the previously mentioned benefits. The performance of LUR modeling is largely influenced by the quality and number of input land-use variables. Also, similar to conventional methods, the number and geographical distribution of exposure monitors affect LUR model performance. In previous LUR studies, air pollution information from 25–100 monitoring stations was typically employed and 40–80 were recommended (Basagaña *et al* 2012, Beelen *et al* 2013, Hoek *et al* 2008). Taking temporality into LUR models is another challenge, and multiple methods are being explored (Slama *et al* 2007, Mølter *et al* 2010, Wang *et al* 2013, Johnson *et al* 2013, Dons *et al* 2014, Liu *et al* 2015). Also, further improvement is needed in applying the outdoor air quality generated by LUR models to predict personal exposure (Sahsuvaroglu *et al* 2009).

The high performance and validity of the LUR model implies the need for further study in Asian countries. Although an increasing number of LUR studies is being conducted in Asian countries, most are limited to China (Chen *et al* 2010, Chen *et al* 2012, Li *et al* 2015, Liu *et al* 2015) and only a few applied LUR to disentangle the effects of air pollution on adverse health effects (Yorifuji *et al* 2010). Most of the LUR models showed low predictability ranging from 44%–64%, with only one study (Chen *et al* 2012) showing predictability (89%) similar to the model in the current study (86%). Two previous studies had a small number of monitors (Chen *et al* 2010, Chen *et al* 2012), and all studies performed hold-out validation rather than leave-one-out cross-validation. One of the major reasons for the limited number of studies conducted in other Asian countries may be limited knowledge of the LUR modeling itself and data

availability. The current study demonstrates the implementation of LUR modeling in the Republic of Korea. Also, the small number of monitoring sites in a small industrial region with limited data in the current study could resemble settings more similar to Asian countries than European countries. Although a large number of input LUR variables may be required to build a well-performing model, various variables can be generated once land-use information is obtained via governmental databases or normalized difference vegetation index. It can be argued that the comparatively high performance of LUR over other methods may be due to more information employed to generate the model. However, generating LUR models would be of merit when comparing the trade-offs between the high-performance and the work-load given the simplicity in data acquisition, data handling, and model generating. Therefore, the authors suggest the need to explore LUR research in Asian countries.

The exposure estimated with LUR had wider ranges than estimates from the other three exposure methods, which is consistent with a previous study (Lee *et al* 2014). Exposure estimates of NO<sub>2</sub> by all four exposure assessment methods were positively correlated. In particular, the estimates by kriging, IDW, and nearest station showed high positive correlations, which is in concordance with previous literature (Brauer *et al* 2008, Rivera-González *et al* 2015). However, the exposure estimated with LUR and other methods were weakly correlated, which is lower than what was observed in previous studies (Brauer *et al* 2008, Wang *et al* 2013). In particular, the estimates by LUR were not significantly associated with the estimates by the nearest-station method. A possible explanation for the low correlation observed between the estimates by LUR and other models in the current study could be that the nearest station, kriging, and IDW methods perform estimation within the range of the known values, while LUR does not restrict the boundary for the estimation process. Limitations in the monitoring stations used for analysis may have caused low performance of the nearest station, IDW, and kriging methods, resulting in low correlation with LUR. Another possibility is that the cohort may have consisted of a higher proportion of people residing in low-polluted areas. The addresses of the recruited participants were not evenly spread over Ulsan; rather, they were concentrated in the central region. The limitations in predicting the lowest and highest concentrations with the nearest station, IDW, and kriging methods and the high predictability of LUR in predicting small-area variation may have led to a higher discrepancy between the LUR model and the other three models.

The 2009 exposure models were applied to generate the empirical NO<sub>2</sub> concentrations of the participants of the Ulsan cohort. The annual NO<sub>2</sub> concentration estimates by LUR of the residents varied by demographic characteristics and municipality of

residence. Residents under 20 years of age were exposed to lower concentrations of NO<sub>2</sub>, compared to those aged 20 years and older. Residents of Dong-gu were exposed to the lowest annual concentrations of NO<sub>2</sub>, while those living in Nam-gu were exposed to the highest levels of NO<sub>2</sub>. The high levels of NO<sub>2</sub> observed in Nam-gu may be explained by its geographical characteristics. Nam-gu is located at the center of the city, which consists of big residential areas and a number of industrial complexes, and is located at the west of another big industrial complex. There is an industrial complex located in Dong-gu as well. However, strong wind from the sea may have facilitated the dilution process as the monitoring station in Dong-gu is located a couple of miles south-east of the complex and is located at the lower end of the peninsula. Overall, the average concentrations of annual NO<sub>2</sub> exposure levels were all below the national guidelines in the Republic of Korea (30 ppb).

The annual NO<sub>2</sub> concentrations of the current study cohort were among the highest compared to cohorts of previous studies (table 6). The reason for such high annual concentrations observed in the current study could be that the Ulsan cohort is a cohort located in one of the largest industrial cities in the Republic of Korea. We were able to model the exposure level of the actual residential addresses and confirm that the residential exposure to NO<sub>2</sub> in the Ulsan cohort was below the national guideline of the Republic of Korea. Instead of estimating the annual NO<sub>2</sub> concentrations of the entire region, we estimated the annual NO<sub>2</sub> concentrations with regard to the actual street-level residential addresses. In terms of environmental health, the air pollution exposure in the residential area may be more of a concern, compared to the area as a whole.

## 5. Conclusion

In conclusion, the LUR models showed high performance and the widest range of exposure estimates compared to the exposure methods of nearest distance, ordinary kriging, and IDW in an industrial city in the Republic of Korea, despite the small sample size and limited data. However, the performance of the LUR model declined drastically when calibrated, suggesting the need for temporal factors in the model. Results imply that LUR method may be useful in similar settings in Asian countries where the target region is small and availability of data is low. Further studies incorporating more data and regions should be conducted in Asian countries to confirm the applicability of the LUR method.

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