

# **Greenhouse Gas Emissions from U.S. Hydropower Reservoirs: FY2011 Annual Progress Report**

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**September 2011**



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## 1. INTRODUCTION

The primary objective of this study is to quantify the net emissions of key greenhouse gases (GHG) – notably, CO<sub>2</sub> and CH<sub>4</sub> – from hydropower reservoirs in moist temperate areas within the U.S. The rationale for this objective is straightforward: if net emissions of GHG can be determined, it would be possible to directly compare hydropower to other power-producing methods on a carbon-emissions basis.

Studies of GHG emissions from hydropower reservoirs elsewhere suggest that net emissions can be moderately high in tropical areas. In such areas, warm temperatures and relatively high supply rates of labile organic matter can encourage high rates of decomposition, which (depending upon local conditions) can result in elevated releases of CO<sub>2</sub> and CH<sub>4</sub>. CO<sub>2</sub> and CH<sub>4</sub> emissions also tend to be higher for younger reservoirs than for older reservoirs, because vegetation and labile soil organic matter that is inundated when a reservoir is created can continue to decompose for several years (Galy-Lacaux et al. 1997, Barros et al. 2011). Water bodies located in climatically cooler areas, such as in boreal forests, could be expected to have lower net emissions of CO<sub>2</sub> and CH<sub>4</sub> because their organic carbon supplies tend to be relatively recalcitrant to microbial action and because cooler water temperatures are less conducive to decomposition.

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## 2. MATERIALS AND METHODS

As noted in last year's annual progress report (ORNL/TM-2012/91), we initially selected Douglas Lake, a TVA-operated hydropower reservoir located near Dandridge, Tennessee, to begin our studies. Douglas Lake was created in 1943 by damming the French Broad River. The reservoir is centered near Dandridge, Tennessee (N 36 ° 0' 55.1", W 83 ° 24' 51.2"), about 46 km east of Knoxville, Tennessee (Figure 1). The dam is a reinforced concrete gravity-type dam 520 m long and 62 m high. The reservoir has four power-producing units with a total capacity of 165 MW, a flood-storage capacity of  $1.33 \times 10^9 \text{ m}^3$ , and a surface area of about  $120 \text{ km}^2$ ; it has about 885 km of shoreline, and is used extensively for recreational boating and sport fishing ([www.tva.gov/sites/douglas.htm](http://www.tva.gov/sites/douglas.htm)). Annually, elevation of the water surface varies by about 13.4 meters. The reservoir rated "low fair" by Tennessee Valley Authority (TVA) with respect to overall ecological health in 2009; dissolved oxygen concentrations at forebay and mid-reservoir sites tend to be very low during the summer, especially in the lower half of the water column.



**Figure 1: Map of Douglas Reservoir in Eastern Tennessee. Red squares depict forebay and tailwater sampling sites. Green squares indicate cove sites, Blue squares indicate main channel sites and gray squares depict free-flowing river sites.**

In last year's annual progress report, we summarized results for the first five months of sampling of Douglas Lake (March through July, 2010). In the current report, we provide a summary of findings for Douglas Reservoir, based on 10 sampling campaigns total, from January through November, 2010. We then describe several other project accomplishments, and then report our progress on three additional hydropower reservoirs (Allatoona Lake, in northern Georgia;

Cherokee and Watts Bar reservoirs, in Tennessee) that have been sampled on multiple occasions in FY11.

### ***Sampling dates and locations***

Sampling of Douglas Lake commenced in January, 2010, and starting in mid-March, continued on a monthly schedule through mid-November, 2010 (ten sampling dates). Included as sampling sites were 10 reservoir locations (five main channel sites and five cove sites), three major tributary river sites, a forebay site, and four tailwater sites (Figure 1).

The main channel sites were RK (river kilometer) 55.5, RK 69, RK 82, RK 92 and RK 109; the five cove sites were MacGuire Creek, Mc; Flat Creek, FC; Indian Creek, IC; Muddy Creek, Mud; and Nolichucky Cove, NC. The forebay site (FB) was immediately upstream of the dam, and one tailwater site (tailwater 1) was immediately downstream of the dam. Three additional tailwater sites (T2, T3 and T4) were at increasing distances, extending 6.44 km from the dam. The three free-flowing major tributary river sites upstream of the reservoir were the Upper French Broad River (FBR); the Pigeon River (PR); and the Nolichucky River (NR) (Figure 1).

On each sampling date, we measured CO<sub>2</sub> and CH<sub>4</sub> emissions and key water-quality factors so as to permit opportunity for statistically identifying factors that controlled or influenced the rates of greenhouse gas (GHG) emissions, seasonally and spatially.



**Figure 2: Photograph of Douglas Reservoir taken from the forebay area of the reservoir.**

### ***Diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub>***

#### ***Floating chamber measurements***

Diffusive fluxes of CO<sub>2</sub> and CH<sub>4</sub> from the reservoir surface were made at five main channel sites and five cove sites that were representative of reservoir morphometry. These fluxes were

determined using a floating chamber (volume, 19.8 L; surface area, 0.2 m<sup>2</sup>) with sidewalls extending about 5 cm below the water surface and 10-15 cm above the surface. The dome was positioned on the reservoir surface, and concentrations of the two gases were measured in the chamber headspace during an incubation period of 10 to 15 minutes. During the incubation, the chamber was allowed to float freely and drift with the water current. The chamber was connected to a field Fourier transform infrared (FT-IR) gas analyzer (Gasmeter model DX-4015 Multigas Analyzer) in a closed-loop arrangement. In this mode, we recorded the concentrations of CO<sub>2</sub> and CH<sub>4</sub> at one-minute intervals. We used the slope of the increasing concentrations through time to calculate CO<sub>2</sub> and CH<sub>4</sub> emissions.

### ***Thin boundary layer flux calculations***

We used the thin boundary layer method to estimate gas fluxes from the reservoir surface in cases where the floating dome method for measuring CO<sub>2</sub> and CH<sub>4</sub> was not possible, and in several cases where the floating chamber was not operating properly. The thin boundary layer method uses semi-empirical equations to calculate emission from measured concentrations of the gas in the surface water and the gas-exchange coefficient. The thin-boundary method is described in detail in IHA (2010). The flux of gas from water to air (diffusive emissions) was calculated as the product of the gas exchange coefficient for the particular gas in question and the difference between gas concentrations in surface water and air (Equation 1). This approach involves more uncertainty in estimations of GHG emissions and is only used if floating chamber measurements are not possible (Guerin et al. 2007, IHA 2010).

### ***Equations and calculations:***

$$\text{Flux} = K_x (C_{\text{water}} - C_{\text{air}}) \quad (\text{McIntyre et al. 1995})$$

where:  $C_{\text{air}}$  is the concentration of gas in the air 1 m above reservoir surface  
 $C_{\text{water}}$  is the concentration of gas in the surface water of the reservoir (obtained by:  $p_{\text{Gas}} * K_0$ ).

where:  $p_{\text{Gas}}$  is the gas partial pressure  
 $K_0$  is the gas solubility at a given temperature

$$C_{\text{water}} (\mu\text{mole/L}) = K_0 (\text{mole/L/atm}) * p_{\text{Gas}} (\mu\text{atm}) \quad (\text{Morel 1982; Anderson 1982})$$

$$\text{For CO}_2: \ln K_0 = -58.0931 + 90.5069 * (100/T_k) + 22.294 * \ln(T_k/100) + s * (0.027766 - 0.025888 * (T_k/100) + 0.0050578 * (T_k/100)^2) \quad (\text{Weiss 1974})$$

where:  $T_k$  is the temperature in Kelvin  
 $s$  is salinity of the water (in parts per thousand)  
 $K_0$  is expressed as the solubility of CO<sub>2</sub> in water in moles/L/atm

$$\text{For CH}_4: \ln K_0 = -115.6477 + (155.5756/(T_k/100)) + 65.2553 * \ln(T_k/100) - 6.1698 * (T_k/100) \quad (\text{Lide 2007})$$

where:  $K_0$  is expressed as the solubility of CH<sub>4</sub> in water in mole fraction/atm

Multiply CH<sub>4</sub>  $K_0$  (mole fraction/atm) by: 1000 g/L / 18.0153 g/mole to get  $K_0$  expressed as moles/L/atm

To calculate the TBL:

$$k_x = k_{600}(Sc/600)^{-x}$$

where:  $k_x$  is the gas exchange coefficient expressed in cm/h  
 $x = 0.66$  for wind speed  $\leq 3$  m/s or  $x = 0.5$  for wind speed  $> 3$  m/s  
 $Sc$  = the Schmidt number for the selected gas

$$Sc(CO_2) = 1911.1 - 118.11t + 3.4527t^2 - 0.04132t^3 \quad (\text{Wanninkhof 1992})$$

$$Sc(CH_4) = 1897.8 - 114.28t + 3.2902t^2 - 0.039061t^3 \quad (\text{Wanninkhof 1992})$$

where  $t$  = temperature in Celsius

$$K_{600} = 2.07 + (0.215 * U_{10}^{1.7}) \quad (\text{Cole and Caraco 1998})$$

where:  $K_{600}$  is the gas exchange coefficient expressed in cm/h

$$U_{10} = 1.22 * U_1$$

$U_1$  = wind speed (m/s) at the water surface,  $U_{10}$  = frictionless wind speed (m/s) at 10 m  
(Crusius and Wanninkhof 2003)

To obtain flux expressed as mg gas/m<sup>2</sup>/day:

$$(1 \text{ L}/1000 \text{ cm}^3 * 24 \text{ h}/1 \text{ day} * 10000 \text{ cm}^2/\text{m}^2 * 1 \text{ mmole}/1000 \text{ } \mu\text{mole}) * \text{molecular weight of gas}$$

#### ***Ebullition measurements of CO<sub>2</sub> and CH<sub>4</sub>***

Rates of CO<sub>2</sub> and CH<sub>4</sub> emission by ebullition were measured at a subset of the sites where diffusive fluxes were measured. Where the water depth is  $< 10$  m and during periods when CH<sub>4</sub> concentrations in bottom waters are high, bubble emissions can be significant for CH<sub>4</sub>, but bubble emissions are typically less important for CO<sub>2</sub> and N<sub>2</sub>O (IHA 2010). To measure ebullition, inverted funnel collectors (surface area 1 m<sup>2</sup>) were deployed over a 24-h period. Gas was collected in a syringe mounted on top of the funnel. The volume of gas (ml) collected from each funnel was recorded and injected into a pre-evacuated glass serum vial sealed with a butyl stopper, and the vials were stored inverted in a water-filled 50-ml centrifuge tube until analysis by GC (see below for detailed GC methods). Bubble emissions were calculated from the measured GHG concentrations multiplied by the volume of gas collected, divided by the product of the area of the funnel collectors and the duration of the deployment.

#### ***Tailwater emissions of CO<sub>2</sub> and CH<sub>4</sub>***

To estimate the change in net carbon emissions attributable to converting the river into a reservoir, we needed to account for emissions of CO<sub>2</sub> and CH<sub>4</sub> from the reservoir and its tailwaters. We used a mass balance approach to estimate GHG emissions from the tailwaters. We determined the concentrations of dissolved GHG at four tailwater sites located at increasing distances from the dam, on multiple sampling dates (Figure 1). Subsamples of water samples for this purpose (7 ml each) were collected from the sites with a van Dorn water sampler during the monthly visits, when hydropower was being generated. The concentrations of the gases in these samples were determined by GC headspace analysis as reported above.

We calculated emissions from concentrations of the dissolved gases based on the assumption that the loss in dissolved CO<sub>2</sub> and CH<sub>4</sub> between T1 and T4 was due exclusively to emissions (i.e., uptake of CO<sub>2</sub> by algae was considered insignificant as a loss factor, as was the conversion of CH<sub>4</sub> to CO<sub>2</sub> by microbes). The losses in concentration (μmoles per liter) were multiplied by mean daily discharge (liters per day), then divided by the surface area of the tailwater reach (6440 m in length x an average of 130 m in width), before being converted to mg (multiplying by 44.01 mg/mole in the case of CO<sub>2</sub>, or by 16.04 in the case of CH<sub>4</sub>) per square meter per day.

### ***Pre-impoundment river emissions***

Net GHG emissions from a reservoir require an estimate of emissions from the river before it was impounded. We estimated pre-impoundment river emissions using the measurements of emissions from free-flowing regions of the three major tributary rivers to the reservoir. These river emission rates were determined using the thin boundary layer method (see Guerin et al. 2007, IHA 2010), due to boat access limitations and because the relatively high water velocities prevented use of the floating chamber.

For the three tributary rivers, we had 23 observations in total (8 each for the French Broad River and the Pigeon River, and 7 for Nolichucky River). The before-dam emission rate for the pre-impounded river was then estimated by multiplying the mean emissions rate for the three tributary rivers (9.88 g/m<sup>2</sup>/day) by the pre-dam river's width (95 m) and length (61.56 km), and adding to that value, the mean emissions for the influent rivers multiplied by the area represented now by the tailwater segment (historical width, 95 m; length, 6.44 km). To estimate net change (i.e., comparison of pre- and post-impoundment conditions), we expressed the emissions results on an annual basis; this necessitated pooling data across sites in the reservoir, and summing emissions over months so as to account for areal differences related to stage height.

### ***Headspace GHG analysis by gas chromatography***

To determine the concentrations of dissolved GHG in the field water samples, we withdrew 7 ml of freshly collected water from the van Dorn sampler with a 10-ml syringe, taking care not to introduce air into the sample. The 7-ml sample was then injected into a pre-evacuated, sealed glass vial containing 0.4 g KCl as a preservative. These vials were stored inverted in 50-ml centrifuge tubes containing water. In the laboratory, we added 6 ml of He to each vial (bringing the contents of the vials to atmospheric pressure), and the vials were returned to the water-filled centrifuge tubes and kept refrigerated until analysis (within one month). Just before analysis, the vials were brought to room temperature and placed on a shaker table for ~ 3 minutes to ensure equilibration of water and headspace gases in the vial. A 150-μl sample of the headspace gas was then analyzed for CO<sub>2</sub> and CH<sub>4</sub> concentrations. We used an Agilent 6850 GC-TCD (GC equipped with a thermoconductivity detector and 30-m x 0.32-mm x 0.10-μm film PLOT-U capillary column; J&W Scientific) for measuring CO<sub>2</sub> and N<sub>2</sub>O, and an Agilent 6890 GC-FID (GC equipped with a flame ionization detector and 30 m x 0.32 mm x 0.5 μm film DB-FFAP capillary column; J&W Scientific) for measurements of CH<sub>4</sub>.

We calculated the concentrations of the GHG in the original water sample from the measured amounts of CO<sub>2</sub> and CH<sub>4</sub> based on the partitioning between the water and headspace gas in the sample vial as described in IHA (2010).

The diffusive emission rates for each gas were calculated based on the rate of increase in GHG concentrations in the floating chamber over time, multiplied by the chamber headspace volume, divided by the surface area of the chamber. If GHG concentrations in the chamber deviated from a linear increase during the incubation period, we used only the initial linear rate of increase to calculate emission rate (Mosher et al. in review).

### *Ancillary measurements*

Other measurements were made at the sites on each sampling date to help interpret the GHG emission rates and for potential use in predictive models of GHG emissions. These measurements included: air temperature and wind speed; vertical profiles of water temperature, specific conductance, pH, dissolved oxygen concentration, and chlorophyll concentration. The data for the vertical profiles were obtained at 1- to 2-m depth intervals using a water quality sonde (YSI model 6820 V2).

Water samples also were collected from two depths (0.5-1 m, and 1-2 m above the bottom) for measuring dissolved organic carbon (DOC) concentrations with a Shimadzu TNM-1 high-temperature combustion analyzer. These water samples also were analyzed for selected nutrient concentrations (NH<sub>4</sub>, NO<sub>3</sub><sup>-</sup>, total soluble N, total N, soluble reactive P, total soluble P, and total P) using an autoanalyzer (Seal Analytical Model AA3) and standard wet chemistry methods (American Public Health Association, APHA 1992). Samples for DOC, NH<sub>4</sub>, NO<sub>3</sub><sup>-</sup>, total soluble N, soluble reactive P, and total soluble P were filtered in the field (Whatman GFF filters) and placed on ice. In the laboratory, DOC samples were acidified and refrigerated until analysis (within two months). All other nutrient samples were frozen until analysis (within four months). Bottom samples for determining sediment organic matter content were collected once from each reservoir site using a benthic sampler (e.g., an Eckmann dredge or a Ponar sampler).

Data relating reservoir water surface area to pool stage (water level) were acquired to estimate variation in reservoir surface area over the year of measurement (personal communication, Boualem Hadjerioua, Environmental Sciences Division, Oak Ridge National Laboratory). Width and length of the original river impounded by the reservoir were estimated using available morphometry data. These data were used to estimate the surface area of the pre-impoundment river, and to estimate the increase in water surface area due to differences in the reservoir operation over an annual period.

On each sampling date, a 1.5-L van Dorn water sampler was used to collect water samples from the five main-channel and five cove sites for CO<sub>2</sub> and CH<sub>4</sub> concentrations at four depths in the water column (two from the epilimnion and two from the hypolimnion).

In the attempt to determine factors that influenced or controlled GHG emissions from Douglas Lake, we used the ancillary measurements (i.e., water temperature, dissolved oxygen, dissolved CO<sub>2</sub> and CH<sub>4</sub>, nitrate, ammonia, total phosphorus, soluble reactive phosphorus, dissolved organic



carbon etc) and explored relationships between GHG emission and these other measured factors using several statistical techniques, including stepwise multiple regression, one- and two-way analysis of variance, and canonical correspondence analysis.

### ***Preliminary estimate of net emissions of GHG from Douglas Lake***

To prepare a preliminary estimate of the net emissions of GHG from Douglas Lake, we had to consider emissions from the river before the reservoir was created, the change in emissions of the forested land that was inundated by creating the reservoir, and the emissions from the reservoir and its tailwaters after the lake had been created. This necessitated considering (a) the loss of riparian area due to flooding in 1943, (b) CO<sub>2</sub> and CH<sub>4</sub> diffusive emissions from the “historical river”, (c) diffusive emissions of GHG from the ten sites we sampled within the reservoir, and (d) losses of dissolved CO<sub>2</sub> and CH<sub>4</sub> over the tailwater reach. Since ebullition was minor (<0.1% of diffusive emissions for CO<sub>2</sub> and < 1% for CH<sub>4</sub>), our estimates for net emissions ignores ebullition. The rationale for the approach and calculations we used to estimate the changes in emissions caused by creating the reservoir necessitated certain assumptions, as described below.

- a. First, we estimated carbon emissions from the French Broad River, before it was impounded to create Douglas Lake. The area of the “historical river” of interest for this estimate was calculated by multiplying the length of the present reservoir (about 61,560 m) by the river’s mean width (estimated at 95 m). We then calculated the mean effusive emissions data from the sampling sites in the three tributary rivers used in this study (the French Broad, Nolichucky and Pigeon Rivers; Fig. 1), and converted these emissions rates (mg/day) to annual emissions rates (365 days per year). We then multiplied the surface area of the historical river segment by the annual areal emissions rates to prepare our best guess of the GHG emissions from the “historical river”.
- b. When the river was dammed in 1943, the rising waters flooded an area of land. We presumed that, before flooding, the land was forested, and that this forested area behaved ecologically as a net carbon sink. We presumed that the area of flooded land was equal to the mean surface area of Douglas Lake, minus the area of the river that “became” the reservoirs (see (a), above). Our estimate for area flooded was based on the annual average area of the reservoir, which varied through time due to hydropower operations (Bo Hadjerioua, personal communication).
- c. Emissions of GHG from Douglas Lake were measured at about monthly intervals at ten within-reservoir sampling sites. Using these data, we calculated emission rates on an areal basis, adjusting the area for each sampling event based on the mean area of the reservoir for each month. We then summed the monthly emissions results (accounting for differences in the number of days per month) to provide the annual estimated emissions value.
- d. To estimate releases of GHG from the tailwater reach, we calculated reach length (6.44 km) and reach average width (130 m), then considered measured concentrations of dissolved gases, at T1 and T4; calculated the mean change (T4-T1), assuming all “lost” gas left as emissions.

We summarized the net emissions data developed by considering (a) through (d), above, in tabular form.

### 3. RESULTS AND DISCUSSION

#### *Diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub> - reservoir overall*

Using emissions data from the three upstream river tributaries, we estimated the previous net diffusive emission of CO<sub>2</sub> from the free-running river (i.e., French Broad segment before impoundment) to be about  $2.11 \times 10^7$  kg per year. In 2010, the net diffusive emission of CO<sub>2</sub> from Douglas Lake (including tailwaters) was about  $1.11 \times 10^8$  kg per year. Impounding the river to create Douglas Lake increased water surface area by about 15.3-fold (from  $\sim 5.85 \times 10^6$  m<sup>2</sup> to  $\sim 8.92 \times 10^7$  m<sup>2</sup>). Thus, on an absolute basis, the amount of CO<sub>2</sub> released from the impoundment, compared to the previous free-running river segment, has increased by a factor of about 5.3. However, expressed on a per-unit area basis, the reservoir emissions of CO<sub>2</sub> in 2010 were lower than those of the free-running river by about a factor of  $\sim 2.9$  (Table 1).

**Table 1. Net diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub> from Douglas Lake and its tailwaters, and from the free-flowing French Broad River (the latter representing conditions before the river was impounded to create Douglas Lake).**

	Douglas Lake		Reservoir tailwater reach		Free-running French Broad River*	
	CO <sub>2</sub>	CH <sub>4</sub>	CO <sub>2</sub>	CH <sub>4</sub>	CO <sub>2</sub>	CH <sub>4</sub>
total kg/year	$7.91 \times 10^7$	$2.98 \times 10^5$	$3.22 \times 10^7$	$2.80 \times 10^2$	$2.11 \times 10^7$	$5.83 \times 10^2$
mg /m <sup>2</sup> /year	$1.25 \times 10^6$	$3.74 \times 10^3$	$3.85 \times 10^7$	$3.35 \times 10^2$	$3.61 \times 10^6$	$9.98 \times 10^1$

\*Estimated as the average of three upstream tributary rivers to Douglas Lake (N=19).

The net diffusive emissions of CH<sub>4</sub> were much lower than those of CO<sub>2</sub>, for all sampling sites and dates (cf. Table 1). The amounts of CH<sub>4</sub> released were  $\ll 0.1\%$  of the CO<sub>2</sub> emissions for the free-running tributaries and the reservoir tailwaters. For the ten within-reservoir sampling sites, CH<sub>4</sub> emissions were on average only about 0.34% as great as the CO<sub>2</sub> emissions on a molar basis. The relative importance of CH<sub>4</sub> versus CO<sub>2</sub> in this study is similar to that reported by others (0.41%, for boreal zone systems (Ojala et al. 2011). Even adjusting for global warming potential, CH<sub>4</sub> emissions from Douglas Lake seem unlikely to exceed  $\sim 8\%$  of the influence of CO<sub>2</sub>. Barros et al. (2011) suggest that hydropower reservoir CO<sub>2</sub> emissions can exceed CH<sub>4</sub> emissions by a factor of about 16X, which also is in reasonably good agreement with our estimate of CO<sub>2</sub> and CH<sub>4</sub> emissions for Douglas Lake.

#### *Diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub> - reservoir tailwaters*

The tailwater reach of Douglas Lake, as defined in this study, is relatively short (6.44 km) compared to the overall length of the reservoir ( $\sim 61.56$  km). But as others have reported (cf. Guerin et al., 2007), the fluxes of CO<sub>2</sub> to the atmosphere by tailwaters can be much greater than

diffusive emissions of CO<sub>2</sub> from the reservoir surface. The tailwaters for Douglas Lake accounted for about 40% of the total emissions of CO<sub>2</sub> for the entire reservoir on an annual basis in 2010 (Table 1).

Ebullition of CO<sub>2</sub> from Douglas Lake was much lower than rates of diffusive emissions of CO<sub>2</sub>, as has been reported by other investigators for other freshwater lakes and reservoirs (cf. Poissant et al. 2007).

Concentrations of CO<sub>2</sub> and CH<sub>4</sub> dissolved in surface waters of Douglas Lake, and the diffusive emissions of these two gases, were strongly affected by time of year, with diffusive emissions being greater when surface water temperatures were elevated (Table 2).

### ***Factors Influencing Diffusive Emissions of CO<sub>2</sub> and CH<sub>4</sub> from Douglas Lake***

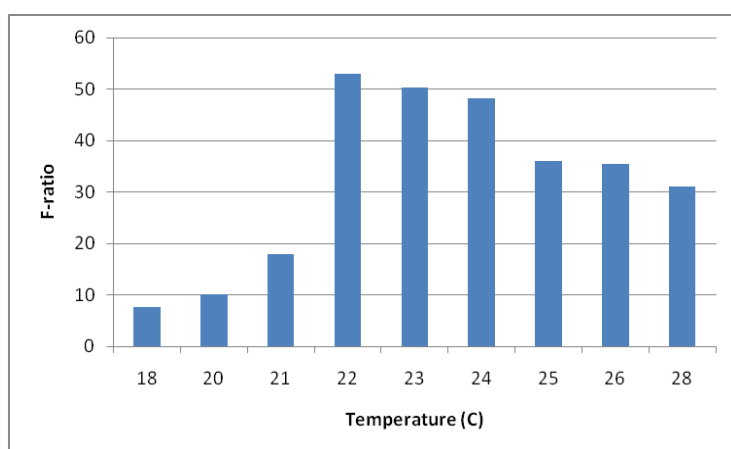
Multivariate analyses of the full Douglas Lake data set did not provide powerful clues as to factors influencing GHG emissions. However we were able to identify influential factors and sets of conditions by sub-setting the data. Our strategy for sub-setting was simple: from other published studies, we reasoned first that water temperature and water depth were likely to be important determinants of GHG emissions. Water temperature affects microbial respiration and gas diffusion rates, among many other things, and depth should affect methane emissions in particular, because methane is produced largely by sediment-dwelling microbes, and can be converted to CO<sub>2</sub> as it diffuses upward into more oxygenated strata. This understanding directed us to conceptually sub-set the data temporally on the basis of warm-water versus cool-water conditions, and spatially on the basis of sites that were shallow versus deep. But we needed a credible method for establishing the criteria for depth (deep versus shallow), and surface-water temperature (warm versus cool).

To identify the temperature “break point” for classifying conditions as cool or warm, we used a series of two-way ANOVAs, with bottom-water transformed CH<sub>4</sub> concentration (log [CH<sub>4</sub>]-bottom) as the dependent variable. We selected log [CH<sub>4</sub>]-bottom as the best

**Table 2: Measured parameters for five main channel and five coves sites from Douglas Reservoir.**

	Shallow, cool (n= 21)	Shallow, warm (n= 12)	Deep, cool (n= 23)	Deep, warm (n= 28)
Depth (m)	5.58 ± 0.06	5.97 ± 0.84	17.44 ± 1.11	17.15 ± 1.14
Surface Temp (°C)	16.40 ± 1.48	28.52 ± 0.41	17.97 ± 1.32	29.39 ± 0.38
Bottom Temp (°C)	15.70 ± 1.42	26.83 ± 0.63	14.11 ± 1.17	23.18 ± 0.74
Diss. O <sub>2</sub> Surface (mg/L)	10.39 ± 0.52	7.59 ± 0.35	10.93 ± 0.46	8.21 ± 0.14
Diss. O <sub>2</sub> Bottom (mg/L)	9.03 ± 0.63	5.55 ± 0.60	7.06 ± 0.70	1.46 ± 0.37
[CO <sub>2</sub> ] Surface (umole/L)	150.57 ± 48.71	306.69 ± 96.67	180.74 ± 38.73	86.45 ± 15.35
[CO <sub>2</sub> ] Bottom (umole/L)	227.50 ± 103.04	481.47 ± 114.22	601.49 ± 127.46	810.37 ± 124.95
[CH <sub>4</sub> ] Surface (umole/L)	0.03 ± 0.01	0.20 ± 0.15	0.01 ± 0.01	0.03 ± 0.00
[CH <sub>4</sub> ] Bottom (umole/L)	0.03 ± 0.01	0.06 ± 0.01	0.04 ± 0.03	0.50 ± 0.15

indicator for temperature effects because this variable should best reflect metabolic activity related to methanogenesis. We expected that CH<sub>4</sub> emissions would be influenced by both CH<sub>4</sub> production and by water depth, because produced methane would need to travel through water column to escape to the atmosphere. In the ANOVAs, factor one was maximum water depth (sites having a maximum depth of < 10 m constituted the shallow class, sites having maximum depths > 10 meters constituted the deep class), and factor 2 was surface water temperature (warm, or cool). To identify the warm-versus-cool criterion, we ran the ANOVAs multiple times, keeping site-classification by maximum water depth constant but selecting, among the runs, surface-water cut-off values of 18, 20, 21, 22, 23, 24, 25, 26, or 28 °C. We then graphed the outcomes by plotting, on the Y axis, the F values (an index of the amount of variance explained) as a measure of the effect of water temperature, and the surface water temperature on the X axis. The result (Figure 3) clearly suggested that CH<sub>4</sub> production “kicked in” between 21 and 22 °C.

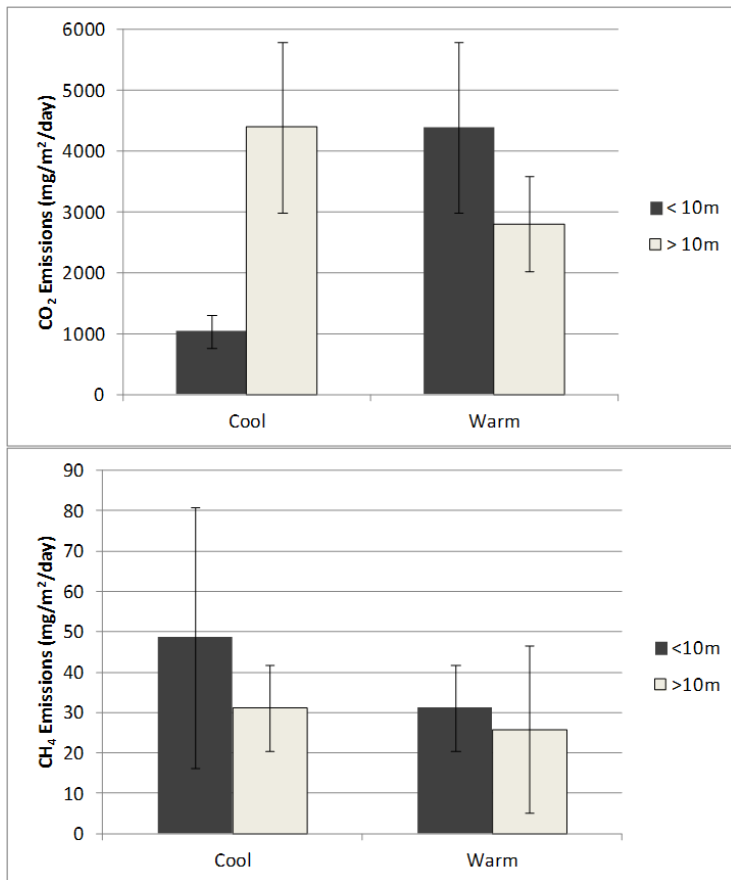


**Figure 3: F-ratios plotted for increasing temperatures for CH<sub>4</sub> emission rates in Douglas Lake.**

Upon establishing the warm-versus-cool criterion at 22 °C, we considered the depth criterion. After reviewing the results of classifying sites by maximum depth for various depth cut-off values, we retained a cut-off for shallow versus deep sites at 10 m for practical reasons. Hydropower production caused variations in water depth, so several of the cove sites were sometimes deeper than 10 m and sometimes shallower than 10 m. But setting the criterion at 8 m, for example, strongly reduced the number of observations within the shallow-site class, weakening ability to test statistically for site-depth effects. Similarly, a depth criterion of 15 m caused some of the “channel” sites to occasionally be classified as shallow (“cove”) sites. The 10-m criterion yielded a good balance for numbers of observations per site water-depth class.

Using the warm-cool criterion value of 22 °C and the deep-shallow criterion of 10 m to establish classes, we then tested for class effects on diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub> using 2-way ANOVAs. Under cooler conditions, shallow sites did not release much CO<sub>2</sub>; under warm-water conditions, the emissions of CO<sub>2</sub> from the shallow sites increased more than four-fold. Conversely, CO<sub>2</sub> emissions were higher during times when surface water was cooler at deep sites, and the CO<sub>2</sub> diffusive emissions declined substantially (by ~40%) when surface-water temperatures increased (Figure 4).

The site water-depth versus surface-water temperature pattern for CH<sub>4</sub> diffusive emissions was largely the inverse of that for CO<sub>2</sub>: CH<sub>4</sub> emissions were greater at shallow-water sites during cool-water conditions (Figure 4), but shallow- and deep-water sites were similar with respect to CH<sub>4</sub> emissions during warm-water conditions (Figure 4).



**Figure 4. Mean diffusive emissions ( $\pm$  SE) of CO<sub>2</sub> (upper) and CH<sub>4</sub> (lower) from Douglas Lake, from relatively shallow sites (< 10 m deep) and deep sites (> 10 m), when surface water temperatures of the sites were cool (<22 °C) or warm (> 22 °C).**

We also used a series of one-way ANOVAs to search for possible effects of other factors on the diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub>. The factors we explored this way included concentrations of ammonia, dissolved oxygen, dissolved organic carbon, carbon dioxide, and nitrate nitrogen.

We presumed a priori that diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub> from Douglas Lake would be affected by surface water temperature and site depth. Multivariate analyses did not reveal any strong predictors of either CO<sub>2</sub> or CH<sub>4</sub> emissions, using statistical methods that were allowed access to the full data set.

When we classified the data on the basis of water temperature and on the basis of maximum water depth, we found several water-quality factors that related statistically to the diffusive emissions of both CO<sub>2</sub> and CH<sub>4</sub>. Among these were surface SRP concentrations and bottom water NO<sub>3</sub> concentrations for CO<sub>2</sub> emissions, and bottom water CH<sub>4</sub> concentrations for CH<sub>4</sub> emissions.

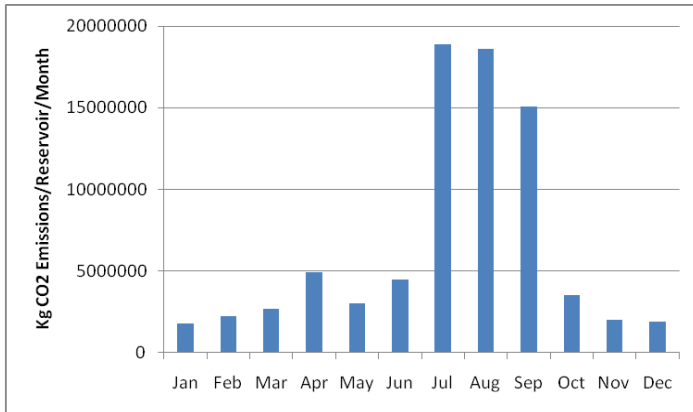
Based on the results of a series of one-way ANOVAs, diffusive emissions of CO<sub>2</sub> from Douglas Lake were related to some extent to surface water temperature (Table 3) and were greater in warmer seasons than in cooler seasons. The emissions of CH<sub>4</sub> were not influenced much by temperature (Table 3). As has been reported in other studies of temperate-region reservoirs, diffusive emissions of CH<sub>4</sub> were much lower than those of CO<sub>2</sub>.

**Table 3. Results of two-way ANOVAs for effects of site depth (shallow versus deep) and surface water temperature (cool versus warm) on the diffusive emissions of CO<sub>2</sub> and CH<sub>4</sub>.**

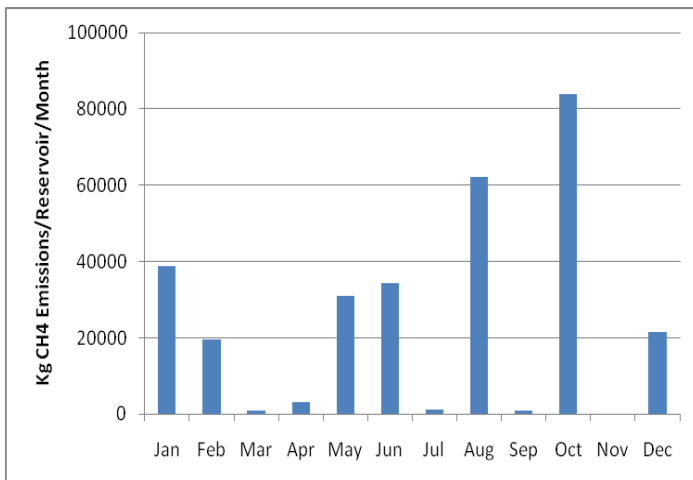
		<b>Sum of Squares</b>	<b>Degrees of Freedom</b>	<b>MSE</b>	<b>F ratio</b>	<b>P</b>
<b>CO<sub>2</sub></b>	Water depth	2.57 x 10 <sup>5</sup>	1	2.57 x 10 <sup>5</sup>	0.027	0.868
	Water temperature	9.93 x 10 <sup>7</sup>	1	9.93 x 10 <sup>7</sup>	10.72	0.0016
	Interaction term	1.74 x 10 <sup>7</sup>	1	1.74 x 10 <sup>7</sup>	1.880	0.174
	Total	8.59 x 10 <sup>8</sup>	83			
<b>CH<sub>4</sub></b>	Water depth	1.42 x 10 <sup>4</sup>	1	1.42 x 10 <sup>4</sup>	1.531	0.219
	Water temperature	2.66 x 10 <sup>2</sup>	1	2.66 x 10 <sup>2</sup>	0.028	0.866
	Interaction term	8.30 x10 <sup>3</sup>	1	8.30 x10 <sup>3</sup>	0.891	0.348
	Total	7.68 x 10 <sup>5</sup>	83			



A strong seasonal pattern for CO<sub>2</sub> diffusive emissions was evident, with the rates being much higher in warmer months than in cooler months. Additionally, although the patterns of CO<sub>2</sub> and CH<sub>4</sub> emissions were grossly similar with respect to seasonal trends (Figure 5), emission rates for CO<sub>2</sub> were far greater than those for CH<sub>4</sub>.



**Figure 5a: Monthly estimations of CO<sub>2</sub> emissions from the surface of Douglas Reservoir.**



**Figure 5b: Monthly estimations of CH<sub>4</sub> emissions from the surface of Douglas Reservoir.**

***FY 2011 Sampling Campaign***

The 2011 sampling included three new reservoirs, Allatoona Reservoir in northern Georgia (operated by USACE), and Watts Bar and Cherokee Reservoirs in eastern Tennessee (both operated by TVA). These reservoirs were selected for their differing sizes (surface area and depth), water retention times, forebay hypoxia mitigation strategies and riparian land use. We anticipate reservoir-to-reservoir differences in emissions for both GHGs.

A new Los Gatos Research DLT-100 Greenhouse Gas Analyzer was purchased and used for the FY 2011 sampling. The field portable DLT-100 utilizes cavity ring-down laser absorption spectroscopy and has dual sample introduction ports. Samples may be either injected directly with a syringe in “batch mode” or the analyzer may be connected to the floating dome in “flow mode” allowing for real time collection of greenhouse samples. This analyzer has several advantages over FY2010’s methods, including 1) less sample handling error with the direct field injections, 2) excellent durability in the field, and 3) less

sample processing time. The DLT-100 was first deployed during the April/May sampling campaign and after a few initial “bugs” were worked out, has been operating smoothly.

Allatoona Reservoir, surface area 48.6 km<sup>2</sup>, is the result of damming of the Etowah River northwest of Atlanta (34° 8' 18" N 84° 38' 38" W) (Figure 6). Constructed in the late 1940s, the dam houses two turbine units with a generating capacity of 72 MW. Forebay hypoxia mitigation efforts involve altering flows during stratification periods.



**Figure 6: Allatoona Dam and Reservoir, located in northern Georgia, operated by USACE.**

Cherokee Dam (36° 9' 58" N 83° 29' 54" W) was constructed in 1941 by damming the Holston River. It houses four energy-generating turbines with a 135 MW capacity (Figure 7). The reservoir has an average of 120 km<sup>2</sup> surface area and forebay summer hypoxia is mediated by liquid oxygen injections into the bottom waters at the dam.



**Figure 7: Cherokee Dam and reservoir located in Eastern Tennessee, operated by TVA.**

Watts Bar Dam (35° 37' 16.69" N 84°46' 53.75" W) was built in 1942, by damming the Tennessee River. It houses five turbines with a generation capacity of 167 MW (Figure 8). The reservoir has an average of 158 km<sup>2</sup> surface area and has liquid oxygen injections in the forebay hypolimnion to mitigate summertime hypoxia.



**Figure 8: Watts Bar forebay and dam, located in Eastern Tennessee.**

All three reservoirs were sampled in March 2011, late April/early May, late June/early July and late August/early September and will be sampled in October/November 2011. A similar strategy was incorporated in FY 2010 sampling: ten reservoir sites (5 cove and 5 main channel) were sampled to measure 1) emissions of CO<sub>2</sub> and CH<sub>4</sub> from the reservoir surface, 2) vertical gradients of dissolved CO<sub>2</sub> and CH<sub>4</sub> in the water column, and 3) ebullition fluxes from the reservoir, by inverted funnels suspended below the water surface.

Samples also are being collected before and after the dam as water passes through spillways and turbines to measure gases passing through the dam and being released to the atmosphere. Net emissions are estimated from measurements of greenhouse gas emissions from 2-3 unimpounded sections of tributary rivers to each reservoir.

#### ***Other Areas of Project Progress***

1. Two-day training session for PNNL GHG project personnel. Main points covered during the visit were (a) review of site-selection criteria, (b) demonstration of sampling methods, (c) walk-through tours and explanations of laboratory procedures (GHG analyses by GC and Los Gatos, nutrient analyses), and (d) discussion of programmatic plans and future research avenues.
2. Jennifer Mosher presented a talk titled “Seasonal Greenhouse Gas Emissions from a Temperate U.S. Hydropower Reservoir” authored by Jennifer J. Mosher, Patrick J. Mulholland, Arthur J. Stewart, Allison M. Fortner, Jana R. Phillips and Mark S. Bevelhimer as a session panelist at Hydrovision 2011 Conference in Sacramento, CA in July.

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3. A manuscript from the sampling of Douglas Reservoir is in the final stages of preparation and will be submitted to a peer-reviewed journal by 15 October 2011.

#### 4. FY12 WORKPLAN

In the first quarter of FY12, we expect to complete field sampling in the three 2011 reservoirs and complete laboratory analysis of all collected water and gas samples. In the spring of 2012, we will begin a third year of sampling that will include a mixture of old and new reservoirs. This will allow us to begin characterizing both inter-annual variability and among-reservoir variability in GHG emissions. In summer of 2012, we will complete a one-time, large-scale synoptic sampling campaign of up to seven hydropower reservoirs in the Southeast. The purpose of this campaign would be to determine the spatial variation of GHG emissions near mid-July, when emission rates should be greatest. Each reservoir would be sampled for ebullition by funnel collectors (three positioned in deep-water sites and three in shallow-water sites); the floating-dome method would be used to measure diffusive emissions at each of these sites, and depth-profile data would be obtained from each site for properties such as water temperature, pH, dissolved oxygen, chlorophyll, oxidation-reduction potential, and conductivity. Relationships between GHG emissions and reservoir characteristics would involve factors noted above and others, and would also include factors that can be determined from hydropower operations or maps, such as reservoir residence time, water surface-area-to-volume ratio, etc. We will analyze results of the synoptic-survey campaign, and then prepare and submit to a peer-reviewed journal a technical manuscript summarizing the results. We anticipate completing a good-quality draft of the manuscript by mid-September, 2012.

To collect data at the peak time of emissions, we need to sample in late July, August, or September. Doing such would not allow time for a thorough analysis of the data by the end of Q4 FY12. We are therefore seeking approval to complete our field sampling by end of FY12, but continue our analysis and preparation of the final report into the Q1 FY13. Our quarterly milestone and deliverables described in the table above reflect this. We expect to do this with money carried over from FY12.

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